Board of Trustees Meeting

Wednesday, November 20, 2019
6:30 p.m.

NLACRC's San Fernando Valley Office
9200 Oakdale Avenue, Suite 100
Chatsworth, CA 91311

Packet #2 (supplemental) - at table
North Los Angeles County Regional Center

Board Packet #2

Contents

1. Green: Revised Agenda (page 52)

2. Yellow: Additional Committee Action Items (page 55)

3. Purple: Update on NLACRC's Strategic Plan (page 78)

4. Orange: Presentation: The Lanterman Act (page 114)

4. Blue: Presentation: NLACRC's Contract with DDS (page 200)
Revised Agenda
~ Revised AGENDA ~

1. Call to Order & Introductions – Elena Burnett, Board President

2. Public Input & Comments

3. Consent Items
   A. Approval of Revised Agenda (*Packet 2, page 52*)
   B. Approval of October 23rd Board Meeting Minutes (*Packet 1, page 3*)

4. Committee Action Items
   A. Administrative Affairs Committee – Manu Alfaro
      1. Approval of Contracts (*Packet #1, page 16*)
         a. Maxim Healthcare Services, Inc. (PL1025-062)
         b. ETHOS Therapeutics (PL1797-117)
         c. The Adult Skills Center (PL1824-952)
   B. Nominating Committee – Curtis Wang
      1. Nomination of New Board Members
         a. Nicholas Abrahams
         b. Ivette Arriaga
         c. Den Bogrow
         d. Christina Cannarella
         e. Leticia Garcia
         f. Gabriela Herrera
         g. Claudia Picerni
         h. Ana Laura Quiles
   C. Strategic Planning Committee – Caroline Mitchell (*Packet #1, page 37*)
      1. Approval of NLACRC’s 2020 Performance Contract

5. Additional Committee Action Items
   A. Administrative Affairs Committee – Manu Alfaro
      1. Approval of Board Resolution (Loan Proposal) (*Packet 2, page 56*)
      2. Approval of Contracts (*Packet 2, page 57*)
         a. STAR of California, LLC (PL1115)
         b. Sunshine Residential Home (PL1827-109)
         c. CPES California (PL1636-999)
d. Modern Support Services (PL1379-999)
e. People's Care (PL1466-999)
f. RSCR California (TBD-113)
g. RSCR California (PL1638-999)
h. RSCR California (Operations)

B. Post-Retirement Medical Trust – Elena Burnett  
(Packet 2, page 77)

2. Approval of Board Resolution for CalPERS Pension Contribution Trust

6. Executive Session (Approximately 30 minutes)
   A. Legal

7. Update on NLACRC's 5-Year Strategic Plan  
   (Packet 2, page 78)

8. Board Training
   A. The Lanterman Act  
      (Packet 2, page 114)
   B. NLACRC's Contract with DDS  
      (Packet 2, page 200)

9. Announcements/Information/Public Input
   A. Next Board Meeting: Wednesday, January 15th, at 6:30 p.m.  
      (San Fernando Valley Office)

10. Executive Session
    A. Union Negotiations
    B. Employee Salaries and Benefits

11. Adjournment
Additional Committee Action Items
BOARD RESOLUTION
Loan Proposal

The North Los Angeles County Regional Center ("NLACRC") Board of Trustees reviewed and discussed securing a loan ("Loan Proposal") with First American Commercial Bancorp, Inc. d/b/a First American Equipment Finance ("First American") for the Tenant Improvements at new office space located in Lancaster, California. The NLACRC Board of Trustees passed the following resolution:

RESOLVED, that in compliance with NLACRC's Board of Trustees Contract Policy, the NLACRC Board of Trustees reviewed and discussed the Loan Proposal. On November 20, 2019, the NLACRC Board of Trustees hereby approves the Loan Proposal.

RESOLVED, that the NLACRC Board of Trustees hereby authorizes and designates any Officer of NLACRC to finalize, execute and deliver the Loan Proposal on behalf of NLACRC and to sign such other documents, to include but not limited to, (i) the Loan Agreement; and (ii) the Certificate of Incumbency and Authority, in such form as NLACRC's legal counsel may advise, and on such further terms and conditions as such Officer may approve, and take such other action as may be reasonable and necessary to effectuate the Loan Agreement with First American. The final terms of the Loan Agreement, Certificate of Incumbency and Authority, and other such documents shall be conclusively evidenced by the execution of these agreements by such Officer. For purposes of this authorization, an Officer" means NLACRC's Executive Director, Deputy-Director-Chief Financial Officer, Chief Organizational Development Officer, or Chief of Program Services, and no one else.

Certification by Secretary:

I certify that: (1) I am the Secretary of the NLACRC; (2) the foregoing Resolution is a complete and accurate copy of the Resolution duly adopted by NLACRC's Board of Trustees; (3) the Resolution is in full force and has not been revoked or changed in any way.

______________________________  _____________________________
Lillian Martinez, Board Secretary  November 20, 2019
# Contract Summary and Board Resolution

<table>
<thead>
<tr>
<th>No.</th>
<th>Description</th>
<th>Contract Summary</th>
</tr>
</thead>
</table>
| 1.  | **Contract Overview:**  
(New or Amendment) (POS or OPS) | New Nonresidential Negotiated Rate Agreement for provider vendored eff. 02/16/2011, Purchase of Services (POS) |
| 2.  | **The Name of Vendor or Service Provider** | STAR of CA, LLC  
Vendor Number PL1115, Service Code 620 |
| 3.  | **The Purpose of the Contract** | The service provider will provide Behavior Management Consultant services pursuant to Title 17, Section 54342(a)(13). The service provider will provide Behavior Management Consultant services, and is primarily engaged in providing designs and/or implementing behavior modification intervention services and meets the following requirements:  

1. Individuals vendored as a behavior management consultant prior to, or as of, December 31, 2006, that have not previously completed twelve semester units in applied behavior analysis, shall have until December 31, 2008 to complete twelve semester units in applied behavior analysis and possess a license and experience as specified in 3. through 7. below.  

2. Individuals vendored as a behavior management consultant on, or after, January 1, 2007, shall, prior to being vendored, have completed twelve semester units in applied behavior analysis and possess a license and experience as specified in 3. through 7. below.  

3. Possesses a valid license as a psychologist from the Medical Board of California or Psychology Examining Board; or  

4. Is a Licensed Clinical Social Worker pursuant to Business and Professions Code, Sections 4996 through 4998.7; or  

5. Is a Licensed Marriage and Family Therapist pursuant to Business and Professions Code, Sections 4980 through 4984.7; or  

6. Is any other licensed professional whose California licensure permits the design and/or |
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<tr>
<td><strong>implementation of behavior modification intervention services.</strong></td>
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<tr>
<td><strong>7.</strong> Have two years experience designing and implementing behavior modification intervention services.</td>
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<tr>
<td><strong>4.</strong> The Contract Term</td>
<td>Five (5) year contract effective November 22, 2019 through October 31, 2024</td>
<td></td>
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<tr>
<td><strong>5.</strong> The Total Amount of the Contract</td>
<td>Projected annual cost is $452,262.64 per year or $2,261,313.20 over the entire five (5) year term of the contract, based on actual Fiscal Year 2019 expenditure.</td>
<td></td>
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<tr>
<td><strong>6.</strong> The Total Proposed Number of Consumers Served</td>
<td>Currently serving 257 consumers per year.</td>
<td></td>
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<tr>
<td><strong>7.</strong> The Rate of Payment or Payment Amount</td>
<td>Payment will be reimbursed to service provider based on 1) the actual services authorized; 2) the actual services provided; and 3) the authorized hourly rate.</td>
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</tr>
<tr>
<td><strong>8.</strong> Method or Process Utilized to Award the Contract.</td>
<td>Based on vendorization requirements under statute and regulation for Behavior Management Consultant services.</td>
<td></td>
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<tr>
<td><strong>9.</strong> Method or Process Utilized to Establish the Rate or the Payment Amount</td>
<td>Negotiated rate currently $55.19 per hour.</td>
<td></td>
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<tr>
<td><strong>10.</strong> Exceptional Conditions or Terms: Yes/No If Yes, provide explanation</td>
<td>Provider has been vendored effective February 16, 2011 as STAR of California, a Professional Psychological Corporation&quot;, a professional (S-Corp). Effective November 22, 2019, the provider is changing the business entity type to a limited liability company. The tax ID will remain the same.</td>
<td></td>
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</tbody>
</table>
Contract Summary and Board Resolution

The North Los Angeles County Regional Center’s ("NLACRC") Board of Trustees reviewed and discussed the Nonresidential Negotiated Rate Agreement ("Agreement", or "Contract") for STAR of CA, LLC and passed the following resolution:

RESOLVED THAT in compliance with NLACRC’s Board of Trustees Contract Policy, the Contract between NLACRC and STAR of CA, LLC was reviewed and approved by NLACRC’s Board of Trustees on November 20, 2019.

NLACRC’s Board of Trustees hereby authorizes and designates any officer of NLACRC to finalize, execute and deliver the Contract on behalf of NLACRC, in such form as NLACRC’s legal counsel may advise, and on such further terms and conditions as such Officer may approve. The final terms of the Contract shall be conclusively evidenced by the execution of the Contract by such Officer. For purposes of this authorization, an “Officer” means NLACRC’s Executive Director, Deputy Director-Chief Financial Officer, Chief of Program Services, or Chief Organizational Development Officer, and no one else.

Certification by Secretary: I certify that: (1) I am the Secretary of the NLACRC; (2) the foregoing Resolution is a complete and accurate copy of the Resolution duly adopted by NLACRC’s Board of Trustees; (3) the Resolution is in full force and has not been revoked or changed in any way.

______________________________________________  ______________________________
Lillian Martinez, Board Secretary                      Date
November 20, 2019
### Contract Summary and Board Resolution

<table>
<thead>
<tr>
<th>No.</th>
<th>Description</th>
<th>Contract Summary</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td>Contract Overview: (New or Amendment) (POS or OPS)</td>
<td>Amendment, Purchase of Services (POS)</td>
</tr>
<tr>
<td>2.</td>
<td>The Name of Vendor or Service Provider</td>
<td>Sunshine Residential Home, LLC Vendor Number: PL1827, Service Code 109</td>
</tr>
<tr>
<td>3.</td>
<td>The Purpose of the Contract</td>
<td>The purpose of the First Amendment is to change the effective date consistent with consumer need.</td>
</tr>
<tr>
<td>4.</td>
<td>The Contract Term</td>
<td>Five (5) year contract with an original commencement date of 11/01/2019. The Term of Agreement is changed to 07/22/2019 to 07/21/2024.</td>
</tr>
<tr>
<td>5.</td>
<td>The Total Amount of the Contract</td>
<td>Projected annual value of the contract is $85,700.16 based on the provider’s cost statement and an estimation of 6 hours per day of supplemental program support per consumer. [Note: Sunshine Residential Home, LLC (HL0869-915) has a vendored capacity of 4.] The projected total value of the contract over the 5 year term is $428,500.80.</td>
</tr>
<tr>
<td>6.</td>
<td>The Total Proposed Number of Consumers Served</td>
<td>Projected up to 3 per month. Note: HL0869 has a vendored capacity of 4. If three consumers have 1:1 supplemental support through the 109 vendorization, the facility staffing requirements will provide services for the 4th consumer.</td>
</tr>
<tr>
<td>7.</td>
<td>The Rate of Payment or Payment Amount</td>
<td>Payment will be reimbursed to service provider based on 1) the actual services authorized; 2) the actual services provided; and 3) the authorized rate.</td>
</tr>
<tr>
<td>8.</td>
<td>Method or Process Utilized to Award the Contract.</td>
<td>Based on vendorization requirements under statute and regulation for Supplemental Residential Services Program Support services.</td>
</tr>
</tbody>
</table>
Method or Process Utilized to Establish the Rate or the Payment Amount

Negotiated hourly rate of $13.08 is based on a cost statement. The rate negotiated complies with WIC, Section 4691.9 (b) which states that effective July 1, 2008 "no Regional Center may negotiate a rate with a new service provider, for services where rates are determined through a negotiation between the Regional Center and the provider, that is higher than the Regional Center’s median rate for the same service code and unit of service, or the statewide median rate for the same service code and unit of service, whichever is lower.” The vendors stated cost is the same as both the Statewide Median rate and the NLACRC Median rate, effective July 1, 2016, of $13.08 per hour.

Exceptional Conditions or Terms: Yes/No

If Yes, provide explanation

None

Contract Summary and Board Resolution

The North Los Angeles County Regional Center’s (“NLACRC”) Executive Committee on behalf of the Board of Trustees reviewed and discussed the Nonresidential Negotiated Rate Agreement (“Agreement”, or “Contract”) for Sunshine Residential Home, LLC and passed the following resolution:

RESOLVED THAT in compliance with NLACRC’s Board of Trustees Contract Policy, the Contract between NLACRC and Sunshine Residential Home, LLC was reviewed and approved by NLACRC’s Executive Committee on behalf of the Board of Trustees on November 20, 2019.

NLACRC’s Executive Committee on behalf of the Board of Trustees hereby authorizes and designates any officer of NLACRC to finalize, execute and deliver the Contract on behalf of NLACRC, in such form as NLACRC’s legal counsel may advise, and on such further terms and conditions as such Officer may approve. The final terms of the Contract shall be conclusively evidenced by the execution of the Contract by such Officer. For purposes of this authorization, an “Officer” means NLACRC’s Executive Director, Deputy Director-Chief Financial Officer, Chief of Program Services, or Chief Organizational Development Officer, and no one else.

Certification by Secretary: I certify that: (1) I am the Secretary of the NLACRC; (2) the foregoing Resolution is a complete and accurate copy of the Resolution duly adopted by NLACRC’s Executive Committee on behalf of the Board of Trustees; (3) the Resolution is in full force and has not been revoked or changed in any way.

Lillian Martinez, Board Secretary

Date: November 20, 2019
# Contract Summary and Board Resolution

<table>
<thead>
<tr>
<th>No.</th>
<th>Description</th>
<th>Contract Summary</th>
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<tbody>
<tr>
<td>1.</td>
<td>Contract Overview: (New or Amendment) (POS or OPS)</td>
<td>First Amendment to Service Development Agreement, Purchase of Services (“POS”)</td>
</tr>
</tbody>
</table>
| 2.  | The Name of Vendor or Service Provider           | CPES California, Inc. (West 27th)  
Original Project #: NLACRC-1617-1  
New Project #: NLACRC-1920-4  
Vendor Number: PL1636, Service Code: 999 |
| 3.  | The Purpose of the Contract                     | Service Development Agreement to provide startup funding to acquire, renovate, and operate one (1) Specialized Residential Facility (“SRF”), under service code 113. Each SRF will serve four (4) consumers who are moving from a State Developmental Center (SDC) into the community, or who are at risk for placement into a SDC. The home is to be designed for two (2) residents who will be moving from a California State Developmental Center or a locked facility, and for two (2) residents needing deflection from these more restrictive environments.  
Pursuant to Title 17, Section 54356 and the DDS published guidelines regarding Miscellaneous Services revised 05/10/2010, a regional center shall classify a vendor as a DSS Licensed – Specialized Residential Facility (Habilitation) provider, under service code 113, if the vendor operates a residential care facility licensed by the Department of Social Services (DSS) for individuals with developmental disabilities who require 24 hour care and supervision and whose needs cannot be appropriately met within the array of other community living options.  
The purpose of the First Amendment is to change the source of the funding, extend the deadline to serve consumers to no later than March 31, 2022, extend the deadline to achieve performance milestones to March 31, 2022, and extend the term of the Original Agreement from April 30, 2019 to March 31, 2022. |
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<td>$275,000.00 to develop one SRF:</td>
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<td>FY2016-2017: $245,000 of which was paid to Contractor, and $30,000 was reverted to the State of California.</td>
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<td>FY2019-2020: $30,000 of new funding was provided by DDS to complete the FY2016-FY2017 project.</td>
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<td>6.</td>
<td>The Total Proposed Number of Consumers Served</td>
<td>The SRF will serve a maximum of four (4) consumers.</td>
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<tr>
<td>7.</td>
<td>The Rate of Payment or Payment Amount</td>
<td>Payment will be reimbursed to service provider based on achievement of Performance Milestones.</td>
</tr>
<tr>
<td>8.</td>
<td>Method or Process Utilized to Award the Contract.</td>
<td>Request for Proposal (RFP) process that was published by NLACRC on 10/20/2017.</td>
</tr>
<tr>
<td>9.</td>
<td>Method or Process Utilized to Establish the Rate or the Payment Amount</td>
<td>FY2016-2017 CPP start-up funds were requested from DDS to develop one (1) SRF, and DDS approved the funding in the NLACRC 16-17 CPP approved plan dated 10/12/2016.</td>
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<td></td>
<td></td>
<td>FY2019/2020 continuation funds were requested from DDS to complete the development and continue with consumer placement and DDS approved the CPP funding on September 27, 2019. The CPP funds are included in NLACRC’s FY2019-2020 Amendment.</td>
</tr>
<tr>
<td>10.</td>
<td>Exceptional Conditions or Terms: Yes/No If Yes, provide explanation</td>
<td>None</td>
</tr>
</tbody>
</table>
Contract Summary and Board Resolution

The North Los Angeles County Regional Center’s (“NLACRC”) Board of Trustees reviewed and discussed the Second Amendment to the Service Development Agreement (“Amendment”, “Agreement”, or “Contract”) for CPES California, Inc. and passed the following resolution:

RESOLVED THAT in compliance with NLACRC’s Board of Trustees Contract Policy, the Amendment between NLACRC and CPES California, Inc. was reviewed and approved by NLACRC’s Board of Trustees on November 20, 2019.

NLACRC’s Board of Trustees hereby authorizes and designates any officer of NLACRC to finalize, execute and deliver the Amendment on behalf of NLACRC, in such form as NLACRC’s legal counsel may advise, and on such further terms and conditions as such Officer may approve. The final terms of the Amendment shall be conclusively evidenced by the execution of the Amendment by such Officer. For purposes of this authorization, an “Officer” means NLACRC’s Executive Director, Deputy Director-Chief Financial Officer, Chief of Program Services, or Chief Organizational Development Officer, and no one else.

Certification by Secretary: I certify that: (1) I am the Secretary of the NLACRC; (2) the foregoing Resolution is a complete and accurate copy of the Resolution duly adopted by NLACRC’s Board of Trustees; (3) the Resolution is in full force and has not been revoked or changed in any way.

Lillian Martinez, Board Secretary

November 20, 2019

Date
## Contract Summary and Board Resolution

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<tr>
<th>No.</th>
<th>Description</th>
<th>Contract Summary</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td>Contract Overview:</td>
<td>Fourth Amendment to Service Development Agreement, Purchase of Services (&quot;POS&quot;)</td>
</tr>
<tr>
<td></td>
<td>(New or Amendment) (POS or OPS)</td>
<td></td>
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<tr>
<td>2.</td>
<td>The Name of Vendor or Service Provider</td>
<td>Modern Support Services L.L.C.</td>
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<td></td>
<td>PL1379, Service Code 999</td>
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<td></td>
<td>Service Development Agreement to provide</td>
<td>Service Development Agreement to provide start-up funding to develop a supported</td>
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<td>living services (&quot;SLS&quot;) program to serve ten (10) consumers moving from a State</td>
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<td>Developmental Center or who are at risk for placement into a State Developmental</td>
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<td></td>
<td></td>
<td>Center.</td>
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<td></td>
<td>The purpose of the First Amendment was to</td>
<td>The purpose of the First Amendment was to change the Maximum Funding amount from</td>
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<tr>
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<td>$150,000.00 to $100,000.00, which was the amount appropriated by the Department of</td>
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<td>Developmental Services (&quot;DDS&quot;).</td>
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<td>The purpose of the Second Amendment was to</td>
<td>The purpose of the Second Amendment was to extend the Agreement to April 30, 2016,</td>
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<td>extend the deadline to submit the initial proposal consisting of a start-up plan</td>
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<td>with timelines, start-up activities, and an estimated start-up budget to no later</td>
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<td>than September 30, 2015, extend the deadline to submit a budget proposal for use of</td>
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<td>start-up funds and a budget proposal for the ongoing rate to no later than</td>
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<td>September 30, 2015, extend the deadline to submit a program design that is</td>
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<td>acceptable to Center no later than September 30, 2015, subject to revision,</td>
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<td>extend the deadline to serve consumers by no later than April 30, 2016, and</td>
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<td></td>
<td>extend the term of the Original Agreement from June 30, 2014 to April 30, 2016.</td>
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<td>The purpose of the Third Amendment was to</td>
<td>The purpose of the Third Amendment was to change the source of the funding, extend</td>
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<td></td>
<td>the deadline to serve consumers to no later than April 30, 2018, extend the</td>
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<td></td>
<td>deadline to achieve performance milestones to April 30, 2018, and extend the</td>
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<td></td>
<td>term of the Original Agreement from April 30, 2016 to April 30, 2018.</td>
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<td></td>
<td>The purpose of the Fourth Amendment is to</td>
<td>The purpose of the Fourth Amendment is to change the source of the funding, extend</td>
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<td>the deadline to serve consumers to no later than</td>
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March 31, 2020, extend the deadline to achieve performance milestones to March 31, 2020, and extend the term of the Original Agreement from April 30, 2018 to March 31, 2020.

<table>
<thead>
<tr>
<th>4. The Contract Term</th>
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<tbody>
<tr>
<td>Original Contract: June 1, 2014 through June 30, 2015</td>
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<tr>
<td>First Amendment did not change the term.</td>
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<tr>
<td>Second Amendment extended term from June 30, 2015 to April 30 2016.</td>
</tr>
<tr>
<td>Third Amendment extends term from April 30, 2016 to April 30, 2018.</td>
</tr>
<tr>
<td>Fourth Amendment extends term from April 30, 2018 to March 31, 2022.</td>
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<tr>
<th>5. The Total Amount of the Contract</th>
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<tbody>
<tr>
<td>$100,000.00 to develop a SLS program, which was reduced to $75,000 paid to develop the program.</td>
</tr>
<tr>
<td>FY2013-2014:</td>
</tr>
<tr>
<td>$100,000 of which $75,000 was paid to Contractor, and $25,000 was reverted to the State of California</td>
</tr>
<tr>
<td>FY2016-2017:</td>
</tr>
<tr>
<td>$25,000 of new funding was provided by DDS to complete the FY2013-2014 project, and $25,000 was reverted to the State of California</td>
</tr>
<tr>
<td>FY2019-2020:</td>
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<tr>
<td>No new funding was provided. However, DDS has authorized NLACRC to continue the program until 12/31/2020.</td>
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<tr>
<th>6. The Total Proposed Number of Consumers Served</th>
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<tbody>
<tr>
<td>Projected to serve 10 consumers.</td>
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<tr>
<th>7. The Rate of Payment or Payment Amount</th>
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<tbody>
<tr>
<td>Payment will be reimbursed to Modern Support Services L.L.C. based on performance milestones.</td>
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| 8. Method or Process Utilized to Award the Request for Proposal (“RFP”) process that was |

PL1378-999 (4th amendment)
<table>
<thead>
<tr>
<th>Contract.</th>
<th>published by NLACRC on November 7, 2013.</th>
</tr>
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<tbody>
<tr>
<td>FY2013/2014 funds were requested by NLACRC from DDS to develop a supported living services (&quot;SLS&quot;) program and DDS approved the CPP funding on September 24, 2013, and the CPP POS start-up funds were included in NLACRC’s FY2013-2014 E-2 Amendment dated December 30, 2013. The provider was unable to meet the funding deadlines and as a result the balance had to be reverted back to DDS.</td>
<td></td>
</tr>
<tr>
<td>FY2016/2017 continuation funds were requested by NLACRC from DDS to complete the development and continue with consumer placement and DDS approved the CPP funding on July 1, 2016. The funds were provided in our C-1 allocation, dated September 15, 2016. FY2019/2020 continuation funds were requested from DDS to complete the development and continue with consumer placement and DDS approval of the CPP funding is still pending.</td>
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<tr>
<td>Exceptional Conditions or Terms: Yes/No If Yes, provide explanation</td>
<td>None</td>
</tr>
</tbody>
</table>
Contract Summary and Board Resolution

The North Los Angeles County Regional Center’s ("NLACRC") Board of Trustees reviewed and discussed the Fourth Amendment to the Service Development Agreement ("Amendment", "Agreement", or "Contract") for Modern Support Services L.L.C. and passed the following resolution:

**RESOLVED THAT** in compliance with NLACRC’s Board of Trustees Contract Policy, the Amendment between NLACRC and Modern Support Services L.L.C. was reviewed and approved by NLACRC’s Board of Trustees on **November 20, 2019**.

NLACRC’s Board of Trustees hereby authorizes and designates any officer of NLACRC to finalize, execute and deliver the Amendment on behalf of NLACRC, in such form as NLACRC’s legal counsel may advise, and on such further terms and conditions as such Officer may approve. The final terms of the Amendment shall be conclusively evidenced by the execution of the Amendment by such Officer. For purposes of this authorization, an “Officer” means NLACRC’s Executive Director, Deputy Director-Chief Financial Officer, Chief of Program Services, or Chief Organizational Development Officer, and no one else.

**Certification by Secretary:** I certify that: (1) I am the Secretary of the NLACRC; (2) the foregoing Resolution is a complete and accurate copy of the Resolution duly adopted by NLACRC’s Board of Trustees; (3) the Resolution is in full force and has not been revoked or changed in any way.

______________________________  ________________________________
Lillian Martinez, Board Secretary  Date  November 20, 2019
## Contract Summary and Board Resolution

<table>
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<tr>
<th>No.</th>
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<tbody>
<tr>
<td>1.</td>
<td>Contract Overview: (New or Amendment) (POS or OPS)</td>
<td>Second Amendment to Service Development Agreement, Purchase of Services (“POS”)</td>
</tr>
<tr>
<td>2.</td>
<td>The Name of Vendor or Service Provider</td>
<td>People’s Care Los Angeles, Inc. (Gillespie and McEnnery) Original Project #: NLACRC-1415-2 New Project #: NLACRC-1920-5 PL1466, Service Code 999</td>
</tr>
<tr>
<td>3.</td>
<td>The Purpose of the Contract</td>
<td>Service Development Agreement to provide start-up funding to develop two (2) Specialized Residential Facilities (“SRF”). Each SRF will serve four (4) consumers moving from a state developmental center or who are at risk for placement into a State Developmental Center. The purpose of the First Amendment is to change the source of the funding, extend the deadline to serve consumers to no later than June 30, 2018, extend the deadline to achieve performance milestones to June 30, 2018, and extend the term of the Original Agreement from April 30, 2017 to June 30, 2018. The purpose of the Second Amendment is to change the source of the funding, extend the deadline to serve consumers to no later than June 30, 2020, extend the deadline to achieve performance milestones to June 30, 2020, and extend the term of the Original Agreement from June 30, 2018 to June 30, 2020.</td>
</tr>
<tr>
<td>5.</td>
<td>The Total Amount of the Contract</td>
<td>$200,000 per SRF or $400,000 total to develop two (2) SRFs.</td>
</tr>
<tr>
<td></td>
<td>The Total Proposed Number of Consumers Served</td>
<td>Projected to serve up to 8 consumers (4 consumer per SRF)</td>
</tr>
<tr>
<td>---</td>
<td>---------------------------------------------</td>
<td>----------------------------------------------------------</td>
</tr>
<tr>
<td></td>
<td>The Rate of Payment or Payment Amount</td>
<td>Payment will be reimbursed to People's Care Los Angeles, Inc. based on performance milestones.</td>
</tr>
<tr>
<td></td>
<td>Method or Process Utilized to Award the Contract.</td>
<td>Request for Proposal (&quot;RFP&quot;) process that was published by NLACRC on September 29, 2014.</td>
</tr>
<tr>
<td></td>
<td>Method or Process Utilized to Establish the Rate or the Payment Amount</td>
<td>FY2014/2015 funds were requested by NLACRC from DDS to develop two (2) SRFs and DDS approved the CPP funding on July 18, 2014, and the CPP POS start-up funds were included in NLACRC's FY2014-2015 A-1 intent letter dated August 25, 2014 and in the FY2014-2015 contract dated October 10, 2014. FY2016/2017 continuation funds were requested from DDS to complete the development and continue with consumer placement and DDS approved the CPP funding on June 21, 2017. The CPP funds are included in NLACRC's FY2016-2017 Amendment. FY2019/2020 continuation funds were requested from DDS to complete the development and continue with consumer placement and DDS approved the CPP funding on September 27, 2019. The CPP funds are included in NLACRC's FY2019-2020 Amendment.</td>
</tr>
<tr>
<td></td>
<td>Exceptional Conditions or Terms: Yes/No If Yes, provide explanation</td>
<td>None</td>
</tr>
</tbody>
</table>

$300,000 of which was paid to Contractor, and $100,000 was reverted to the State of California.

FY2016-2017: $95,000 of which was paid to Contractor, and $5,000 was reverted to the State of California.

FY2019-2020: $5,000 of new funding was provided by DDS to complete the FY2014-2015 project.
Contract Summary and Board Resolution

The North Los Angeles County Regional Center’s ("NLACRC") Board of Trustees reviewed and discussed the Second Amendment to the Service Development Agreement ("Amendment", "Agreement", or "Contract") for People’s Care Los Angeles, Inc. and passed the following resolution:

RESOLVED THAT in compliance with NLACRC’s Board of Trustees Contract Policy, the Amendment between NLACRC and People’s Care Los Angeles, Inc. was reviewed and approved by NLACRC’s Board of Trustees on November 20, 2019.

NLACRC’s Board of Trustees hereby authorizes and designates any officer of NLACRC to finalize, execute and deliver the Amendment on behalf of NLACRC, in such form as NLACRC’s legal counsel may advise, and on such further terms and conditions as such Officer may approve. The final terms of the Amendment shall be conclusively evidenced by the execution of the Amendment by such Officer. For purposes of this authorization, an “Officer” means NLACRC’s Executive Director, Deputy Director-Chief Financial Officer, Chief of Program Services, or Chief Organizational Development Officer, and no one else.

Certification by Secretary: I certify that: (1) I am the Secretary of the NLACRC; (2) the foregoing Resolution is a complete and accurate copy of the Resolution duly adopted by NLACRC’s Board of Trustees; (3) the Resolution is in full force and has not been revoked or changed in any way.

__________________________________________  __________________________
Lillian Martinez, Board Secretary                  Date  November 20, 2019
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<tr>
<td>1.</td>
<td>Contract Overview: (New or Amendment) (POS or OPS)</td>
<td>New - Specialized Residential Facility Agreement, Purchase of Services (POS)</td>
</tr>
<tr>
<td>2.</td>
<td>The Name of Vendor or Service Provider</td>
<td>RSCR California, Inc. (Laurel) Vendor Number: TBD Service Code: 113</td>
</tr>
<tr>
<td>3.</td>
<td>The Purpose of the Contract</td>
<td>The service provider will provide Specialized Residential Facility (SRF) Services to two (2) CPP Consumers with challenging behaviors who are moving from a State Developmental Center (SDC) into the community, or who are at risk for placement into a SDC. The home is to be designed for two (2) residents who will either be moving from a California State Developmental Center or a locked facility, and needing deflection from these more restrictive environments.</td>
</tr>
<tr>
<td>5.</td>
<td>The Total Amount of the Contract</td>
<td>Projected annual cost is up to $226,940.40 per year, or $907,761.60 over the entire five (5) year term of the contract based on the maximum reimbursement rate, the statewide median rate of $18,911.70 per consumer.</td>
</tr>
<tr>
<td>6.</td>
<td>The Total Proposed Number of Consumers Served</td>
<td>Projected to serve four (4) consumers per month.</td>
</tr>
<tr>
<td>7.</td>
<td>The Rate of Payment or Payment Amount</td>
<td>Payment will be reimbursed to service provider based on 1) the actual services authorized; 2) the actual services provided; and 3) the authorized monthly rate. Effective July 1, 2016, the Statewide Median Rate is $18,911.70 per consumer.</td>
</tr>
<tr>
<td>8.</td>
<td>Method or Process Utilized to Award the Contract.</td>
<td>Based on vendorization requirements under statute and regulation for SRF services.</td>
</tr>
<tr>
<td>9.</td>
<td>Method or Process Utilized to Establish the Rate or the Payment Amount</td>
<td>The rate negotiated will be based on a cost statement and will comply with WIC, Section 4691.9 (b) which states that effective July 1, 2008 “no Regional Center may negotiate a rate with a new service provider, for services where rates are determined through a negotiation between the Regional Center and the provider.</td>
</tr>
</tbody>
</table>

TBD service code 113
that is higher than the Regional Center’s median rate for the same service code and unit of service, or the statewide median rate for the same service code and unit of service, whichever is lower.”

NLACRC has been instructed to provide placement for a consumer by December 15, 2019 for a consumer who is currently living at Fairview Developmental Center. NLACRC will pursue a Health & Safety Waiver Exemption on behalf of the provider to provide such consumer with a crisis intervention bed to provide temporary 24-hour residential treatment setting for persons who pose an immediate health and safety danger to self or others.

The contract is a “DRAFT” contract and is subject to changes recommended by legal counsel and on such further terms and conditions as any Officer of NLACRC may approve.

Contract Summary and Board Resolution

The North Los Angeles County Regional Center’s (“NLACRC”) Board of Trustees reviewed and discussed the Specialized Residential Facility Agreement (“Agreement”, or “Contract”) for RSCR California, Inc. and passed the following resolution:

RESOLVED THAT in compliance with NLACRC’s Board of Trustees Contract Policy, the Contract between NLACRC and RSCR California, Inc. was reviewed and approved by NLACRC’s Board of Trustees on November 20, 2019.

NLACRC’s Board of Trustees hereby authorizes and designates any officer of NLACRC to finalize, execute and deliver the Contract on behalf of NLACRC, in such form as NLACRC’s legal counsel may advise, and on such further terms and conditions as such Officer may approve. The final terms of the Contract shall be conclusively evidenced by the execution of the Contract by such Officer. For purposes of this authorization, an “Officer” means NLACRC’s Executive Director, Deputy Director-Chief Financial Officer, Chief of Program Services, or Chief Organizational Development Officer, and no one else.

Certification by Secretary: I certify that: (1) I am the Secretary of the NLACRC; (2) the foregoing Resolution is a complete and accurate copy of the Resolution duly adopted by NLACRC’s Board of Trustees; (3) the Resolution is in full force and has not been revoked or changed in any way.

Lillian Martinez, Board Secretary

November 20, 2019
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<tr>
<td>1.</td>
<td>Contract Overview: (New/Amendment) (POS/OPS)</td>
<td>First Amendment to Service Development Agreement, Purchase of Services (&quot;POS&quot;)</td>
</tr>
</tbody>
</table>
| 2.  | Name of Vendor or Service Provider | RSCR California, Inc. (Laurel)  
Original Project #: NLACRC-1617-3  
New Project #: NLACRC-1920-7  
Vendor Number: PL1638, Service Code: 999 |
|     | Purpose of the Contract | Service Development Agreement to provide startup funding to acquire, renovate, and operate one (1) Specialized Residential Facility ("SRF"), under service code 113. Each SRF will serve four (4) consumers who are moving from a State Developmental Center (SDC) into the community, or who are at risk for placement into a SDC. The home is to be designed for two (2) residents who will be moving from a California State Developmental Center or a locked facility, and for two (2) residents needing deflection from these more restrictive environments.  
Pursuant to Title 17, Section 54356 and the DDS published guidelines regarding Miscellaneous Services revised 05/10/2010, a regional center shall classify a vendor as a DSS Licensed - Specialized Residential Facility (Habilitation) provider, under service code 113, if the vendor operates a residential care facility licensed by the Department of Social Services (DSS) for individuals with developmental disabilities who require 24 hour care and supervision and whose needs cannot be appropriately met within the array of other community living options.  
The purpose of the First Amendment is to change the source of the funding, extend the deadline to serve consumers to no later than March 31, 2022, extend the deadline to achieve performance milestones to March 31, 2022, and extend the term of the Original Agreement from April 30, 2019 to March 31, 2022. |
Original Contract: June 1, 2017 through April 30, 2019.  
First Amendment extended term from April 30, 2019 to March 31, 2022. |
|   | **5. Total Amount of the Contract** | $275,000.00 to develop one SRF:  
FY2016-2017:  
$150,000 of which was paid to Contractor, and 
$125,000 was reverted to the State of California.  
FY2019-2020:  
$125,000 of new funding was provided by DDS to complete the FY2016-FY2017 project. |
|   | **6. Total Number of Consumers Served** | The SRF will serve a maximum of four (4) consumers. |
|   | **7. Rate of Payment or Payment Amount** | Payment will be reimbursed to service provider based on achievement of Performance Milestones. |
|   | **8. Method or Process Utilized to Award the Contract** | Request for Proposal (RFP) process that was published by NLACRC on 10/20/2017. |
|   | **9. Method or Process Utilized to Establish the Rate or the Payment Amount** | FY2016-2017 CPP start-up funds were requested from DDS to develop one (1) SRF, and DDS approved the funding in the NLACRC 16-17 CPP approved plan dated 10/12/2016.  
FY2019/2020 continuation funds were requested from DDS to complete the development and continue with consumer placement and DDS approved the CPP funding on September 27, 2019. The CPP funds are included in NLACRC’s FY2019-2020 Amendment. |
|   | **10. Exceptional Conditions or Terms: If Yes, provide explanation** | |
The North Los Angeles County Regional Center’s ("NLACRC") Board of Trustees reviewed and discussed the Second Amendment to the Service Development Agreement ("Amendment", "Agreement", or "Contract") for RSCR California, Inc. and passed the following resolution:

RESOLVED THAT in compliance with NLACRC’s Board of Trustees Contract Policy, the Amendment between NLACRC and RSCR California, Inc. was reviewed and approved by NLACRC’s Board of Trustees on November 20, 2019.

NLACRC’s Board of Trustees hereby authorizes and designates any officer of NLACRC to finalize, execute and deliver the Amendment on behalf of NLACRC, in such form as NLACRC’s legal counsel may advise, and on such further terms and conditions as such Officer may approve. The final terms of the Amendment shall be conclusively evidenced by the execution of the Amendment by such Officer. For purposes of this authorization, an “Officer” means NLACRC’s Executive Director, Deputy Director-Chief Financial Officer, Chief of Program Services, or Chief Organizational Development Officer, and no one else.

Certification by Secretary: I certify that: (1) I am the Secretary of the NLACRC; (2) the foregoing Resolution is a complete and accurate copy of the Resolution duly adopted by NLACRC’s Board of Trustees; (3) the Resolution is in full force and has not been revoked or changed in any way.

Lillian Martinez, Board Secretary

November 20, 2019
## Contract Summary and Board Resolution

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<td>Contract Overview: (New/Amendment) (POS/OPS)</td>
<td>First Amendment to Service Development Agreement, OPS</td>
</tr>
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</table>
| 2.  | Name of Vendor or Service Provider | RSCR California, Inc. (Desert Willow)  
Original Project #: NLACRC-1617-2  
New Project #: NLACRC-1920-8  
Vendor Number: IP-1617-3, Service Code: 999  
New Project #: IP-1920-2 |
| 3.  | Purpose of the Contract | Service Development Agreement to provide startup funding to acquire, renovate, and operate one (1) Specialized Residential Facility ("SRF"), under service code 113. Each SRF will serve four (4) consumers who are moving from a State Developmental Center (SDC) into the community, or who are at risk for placement into a SDC. The home is to be designed for four (4) residents needing deflection from more restrictive environments.  
Pursuant to Title 17, Section 54356 and the DDS published guidelines regarding Miscellaneous Services revised 05/10/2010, a regional center shall classify a vendor as a DSS Licensed – Specialized Residential Facility (Habilitation) provider, under service code 113, if the vendor operates a residential care facility licensed by the Department of Social Services (DSS) for individuals with developmental disabilities who require 24 hour care and supervision and whose needs cannot be appropriately met within the array of other community living options.  
The purpose of the First Amendment is to change the source of the funding, extend the deadline to serve consumers to no later than March 31, 2022, extend the deadline to achieve performance milestones to March 31, 2022, and extend the term of the Original Agreement from April 30, 2019 to March 31, 2022. |
Original Contract: June 1, 2017 through April 30, 2019.  
First Amendment extended term from April 30, 2019 to March 31, 2022. |
| 5.  | Total Amount of the Contract | $275,000.00 to develop one SRF: |

**IP-1617-3, 999 (1st amendment)**
<p>| | |</p>
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<td><strong>FY2016-2017:</strong></td>
<td>$150,000 of which was paid to Contractor, and $125,000 was reverted to the State of California.</td>
</tr>
<tr>
<td><strong>FY2019-2020:</strong></td>
<td>$125,000 of new funding was provided by DDS to complete the FY2016-FY2017 project.</td>
</tr>
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<td><strong>6. Total Number of Consumers Served</strong></td>
<td>The SRF will serve a maximum of four (4) consumers.</td>
</tr>
<tr>
<td><strong>7. Rate of Payment or Payment Amount</strong></td>
<td>Payment will be reimbursed to service provider based on achievement of Performance Milestones.</td>
</tr>
<tr>
<td><strong>8. Method or Process Utilized to Award the Contract</strong></td>
<td>Request for Proposal (RFP) process that was published by NLACRC on 10/20/2017.</td>
</tr>
<tr>
<td><strong>9. Method or Process Utilized to Establish the Rate or the Payment Amount</strong></td>
<td>FY2016-2017 CPP start-up funds were requested from DDS to develop one (1) SRF, and DDS approved the funding in the NLACRC 16-17 CPP approved plan dated 10/12/2016. FY2019/2020 continuation funds were requested from DDS to complete the development and continue with consumer placement and DDS approved the CPP funding on September 27, 2019. The CPP funds are included in NLACRC’s FY2019-2020 Amendment.</td>
</tr>
<tr>
<td><strong>10. Exceptional Conditions or Terms: If Yes, provide explanation</strong></td>
<td></td>
</tr>
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</table>
Contract Summary and Board Resolution

The North Los Angeles County Regional Center’s ("NLACRC") Board of Trustees reviewed and discussed the Second Amendment to the Service Development Agreement ("Amendment", "Agreement", or "Contract") for RSCR California, Inc. and passed the following resolution:

**RESOLVED THAT** in compliance with NLACRC’s Board of Trustees Contract Policy, the Amendment between NLACRC and **RSCR California, Inc.** was reviewed and approved by NLACRC’s Board of Trustees on **November 20, 2019**.

NLACRC’s Board of Trustees hereby authorizes and designates any officer of NLACRC to finalize, execute and deliver the Amendment on behalf of NLACRC, in such form as NLACRC’s legal counsel may advise, and on such further terms and conditions as such Officer may approve. The final terms of the Amendment shall be conclusively evidenced by the execution of the Amendment by such Officer. For purposes of this authorization, an “Officer” means NLACRC’s Executive Director, Deputy Director-Chief Financial Officer, Chief of Program Services, or Chief Organizational Development Officer, and no one else.

**Certification by Secretary:** I certify that: (1) I am the Secretary of the NLACRC; (2) the foregoing Resolution is a complete and accurate copy of the Resolution duly adopted by NLACRC’s Board of Trustees; (3) the Resolution is in full force and has not been revoked or changed in any way.

__________________________________________  ________________________________
Lillian Martinez, Board Secretary                     Date

November 20, 2019
BOARD RESOLUTION
North Los Angeles County Regional Center CalPERS Unfunded Accrued Liability Contribution Trust

The North Los Angeles County Regional Center ("NLACRC") Board of Trustees reviewed and discussed the establishment of the North Los Angeles County Regional Center CalPERS Unfunded Accrued Liability Contribution Trust ("NLACRC UAL Contribution Trust")

RESOLVED, that on November 20, 2019, the NLACRC Board of Trustees authorized the adoption of the NLACRC UAL Contribution Trust pursuant to Article 111, Section 3(f) of NLACRC’s contract with the Department of Developmental Services ("DDS").

RESOLVED, that the NLACRC UAL Contribution Trust is hereby adopted;

RESOLVED, that U.S. BANK is hereby appointed Discretionary Trustee of the NLACRC UAL Contribution Trust;

RESOLVED, that U.S. Bank has appointed HighMark Capital Management as Investment Sub-Advisor of the NLACRC UAL Contribution Trust;

RESOLVED, that the Board President and the Executive Director, or an authorized NLACRC Officer on behalf of the Executive Director, are hereby authorized and directed to execute the NLACRC UAL Contribution Trust on behalf of NLACRC and to sign such other documents, to include but not limited to (I) Form of Direction – Authorized Signers; (ii) Directions for completing Certification of Beneficial Owner(s); (iii) Fee Schedule; (iv) Trustee Agreement; and (v) Form of Designation and Sweep-Direction Mutual Fund; and take such other action as may be reasonable and necessary to effectuate the adoption and implementation of the NLACRC UAL Contribution Trust. For purposes of this authorization, an Officer” means NLACRC’s Executive Director, Deputy-Director-Chief Financial Officer, Chief Organizational Development Officer, or Chief of Program Services, and no one else.

RESOLVED, that the board members of the NLACRC Post Retirement Medical Trust Committee are hereby appointed to serve as members of the Committee provided for at Article 9 of the North Los Angeles County Regional Center CalPERS Unfunded Accrued Liability Contribution Trust, along with an authorized Officer of the NLACRC, to administer the operation of the Trust, and to provide instructions to the Trustee as provided for in said Trust.

Certification by Secretary:

I certify that: (1) I am the Secretary of the NLACRC; (2) the foregoing Resolution is a complete and accurate copy of the Resolution duly adopted by NLACRC’s Board of Trustees; (3) the Resolution is in full force and has not been revoked or changed in any way.

__________________________________________    _________________________
Lillian Martinez, Board Secretary    November 20, 2019
Update on NLACRC's
5-Year Strategic Plan
YEAR 3 GOALS
November 20, 2019
OVERVIEW

Purpose of Strategic Plan
NLACRC's Five-Year Strategic Plan identifies specific, mission-driven activities and outcomes designed to effectively serve and support the Consumers and their families that NLACRC serves.

Mission Statement
NLACRC, with integrity and transparency, provides lifelong partnerships and planning to persons with developmental disabilities by promoting their civil and personal rights, providing comprehensive information, advocating in cooperation with consumers, promoting and providing quality services, and supporting full participation of consumers and families in all aspects of community life.

Vision Statement
The Board of Trustees for NLACRC respects the rights of individuals with developmental disabilities. As such the board will promote, protect, and advocate for consumers' rights by following these values as a guiding principles in the board's policy development, decision making, and strategic planning:

Individual people with developmental disabilities:
- Have the same rights and responsibilities as other residents of their communities
- Have the right to fully participate in all aspects of community life
- Have the right to receive the highest quality of supports and services to meet their needs
- Have the right to make their own decisions and be heard in respect to their life choices.
CURRENT OUTLOOK

- Regional Center Funding not representative of staff cost or structure
- Caseload Growth of over 4.73% or 1,217 consumers per year (Oct19 vs Oct18)
- Community Engagement & Legislative Advocacy

- Service Provider Rates Insufficient & Unsustainable
- Regional Center Operations Funding Insufficient
- Individualized Services and Supports Increased Needs
- Employment Services and Supports Increased Need
- CMS Final Rule Implementation
- Self-Determination Program Implementation
- POS Disparities and Inequities Identification, Barriers, & Solutions
- Increased Transparency, Accountability, and Oversight Requirements
## OBJECTIVES

<table>
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<tr>
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<tbody>
<tr>
<td>Improve advocacy at all levels to support efforts towards preserving the Lanterman Act and a sustainable service system for our consumers and their families</td>
<td>Increase the quality, effectiveness, and available service options to serve and support our consumers and their families</td>
<td>Increase quality services and supports provided by NLACRC to the consumers and their families that we serve</td>
<td>Maximize the public funds received by NLACRC by streamlining department specific business processes and workflows, maximizing the use of technology, increasing opportunities for cost savings; and maximizing the use of office space</td>
</tr>
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</table>
PROGRESS: Objective TA

Legislative Education and Advocacy Activities:

- Continue use of Legucator: Pathways to Advocacy
- Education and Advocacy activities and events
  - Candidates' Forms
  - Town Hall Meetings
  - ARC conference, rallies, etc.
- LA Coalition of Service Providers and Southern California regional centers working together on a legislative platform
- Grass Roots Visits
PROGRESS: Objective

*Local Community Legislative Education and Advocacy Activities:*

- Focus on tracking effectiveness of social media platforms through analytic data to drive more visitors to NLACRC’s website.
- Increase use of Social Media to engage legislators & key stakeholders and our community.
- Include more human interest stories on Facebook page to increase participation in events/activities.
- Explore participation on Valley Industry Commerce Association (“VICA”), VIA, and Chamber of Commerce events or committees.
- Provide Legislative Academies / Legislative Advocacy trainings for the community.
PROGRESS: Objective 1B (cont.)

Local Community Legislative Education and Advocacy Activities:

- Explore the possibility of partnering with other organizations such as Hispanic or Latino Chamber of Commerce, African American Chamber of Commerce and other similar groups
- Look into developing media contacts to help promote and support our legislative platform and advocacy activities
- Implement equitable and inclusive engagement practices to ensure diverse representation of our stakeholders
- Expand legislative activities, information and resources on the NLACRC website
PROGRESS: Objective

NLACRC Staff Legislative Education and Advocacy Activities:

- Continue to increase efforts to share information and resources to staff related to legislative advocacy
- Update case management training materials to include legislative advocacy as part of the person-centered planning process
NLACRC Staff Legislative Education and Advocacy Activities:

- Provide CSC training from Legucator on the legislative advocacy for their consumers and families. This training will be added to NLACRC's new onboarding and CSC training process.
- Add legislative advocacy training to the New Staff Orientation (NSO)
PROGRESS: Objective 2A

*Develop both internal & external partnerships to improve collaboration and increase service delivery & service options*

- Finalize Local Partnership Agreement (LPA) for SCV/AV
- Continue offering Vendor Fairs in AV and SFV
- Continue participation in the Life After High School Fair
PROGRESS: Objective 28

*Develop resources in geographic areas where resources, services, and supports are lacking*

- Implement DDS authorized Community Placement Plan and Community Resource Development Plan (CPP/CRDP) Projects
- Explore the use of the Regional Center Alternatives for Service Delivery, pursuant to WIC 4669.2-4669.75
  - Clinician Travel Time
  - Licensed Speech Therapy, Occupational Therapy, Physical Therapy Travel Time
  - Individualized Services directed towards employment
PROGRESS: Objective 2B (cont.)

*Develop resources in geographic areas where resources, services, and supports are lacking*

- Continue efforts directed towards implementation of the Self-Determination Program (SDP)
  - Finalize SDP Budget Tool
  - Finalize SDP program Policies & Procedures
  - Develop SDP Monitoring Framework
  - Develop person centered-friendly SDP handbook for consumers and families
PROGRESS: Objective 2C

Employment Opportunities for Consumers

- Continue activities to increase the number of service providers receiving Competitive Incentive Employment ("CIE") finds or providing Paid Internship Programs ("PIP")

- Continue to identify employment opportunities in effort to increase the number of consumers in paid competitive employment and paid internships

- Continue to attend quarterly partnership meetings with LAUSD, Department Of Rehabilitation ("DOR"), and other local regional centers to implement local partnership agreement ("LPA") and increase employment opportunities for individuals.
PROGRESS: Objective 20

Provide Rate Support & more technical assistance and monitoring support for service providers

- Implement 8.2% Vendor Rate Increase Effective January 1, 2020 (contingent upon federal financial participation)

- Continue efforts to support Health & Safety Exemption waivers for service providers in order not to disrupt or separate consumers and their families from services and supports.

- Implement rates set by DDS for the family home agency and approved homes (contingent upon federal financial participation)

- Implement rates set by DDS for personal assistance, supported living services, supplemental program support services, and transportation assistance (contingent upon federal financial participation)

- Implement required payment of co-pays, coinsurance and deductibles for Early Start services
PROGRESS: Objective 2Q (cont.)

Provide Rate Support & more technical assistance and monitoring support for service providers

- Continue efforts to support service providers with Home & Community Based Services (HCBS) & Centers for Medicare and Medicaid services (CMS) Final Rule compliance

- Increase information sharing through use of NLACRC’s Website
  - Risk Management
  - CMS/HCBS
  - Electronic Visit Verification (EVV)

- Continue to offer Service Provider Training
  - HIPAA Security/Privacy Training
  - New & Improved RSO Program
  - Risk Mitigation Training
PROGRESS: Objective 2Q (cont.)

Provide Rate Support & more technical assistance and monitoring support for service providers

- Work with service providers that provide personal assistance, supported living services, supported employment, 1:1 supplemental program supports, and transportation assistance services in implementing criminal background checks

- Implement Family Home Agency and their approved homes monitoring

- Implement Adult Residential Facilities for Persons with Special Health Care Needs (ARFPSHCN) and Enhanced Behavioral Supports Home (EBSH) monitoring

- Deputy Director-CFO and Community Services Director to meet with service providers on a regular basis
**PROGRESS: Objective 2**

*Increase access to services & supports by both underserved communities and service area*

- Cafecito Entre Nos
- Community Coffee
- Participation in family and service provider support groups
- NLACRC Disparity Committee
- Continue Disparity Related Projects
  - Family Empowerment Team in Action (FETA)
- Explore creation of “Advocacy Workgroups” that will engage with disparity communities in AV, SCV & SFV
- Instructional Videos for Social Media in efforts to increase access to regional center services
PROGRESS: Objective 2 (cont.)

*Increase access to services & supports by underserved communities and service area*

- Community Outreach
  - Educating communities in regard to consumer transition
- Update General Information Brochure
- Commonly Coordinated Services and Family Service Guide
- Collaborate with other departments to create a prioritized list of additional brochures that we are interested in publishing.
- Develop a plan for using infographics and short videos, to be posted on social media with information that is helpful to consumers and families
PROGRESS: Objective 3A

*Increase quality services & supports provided by NLACRC staff to consumers and their families*

- Continue efforts to hire more service coordinators to reduce caseloads
- Continue to develop & implement succession planning for key positions
- Continue efforts to hire staff to support our strategic plan objectives & case management activities (supervisors, clerical staff, IT staff, quality assurance staff, self-determination staff)
- Implement 1:25 specialized Caseload Ratio for individuals with complex needs
PROGRESS: Objective 3A (cont.)

*Increase quality services & supports provided by NLACRC staff to consumers and their families*

- Continue efforts to hire CSC Director, Diversity Manager, CMS Manager and CSC Manager
- Review Trailer Bill Language (TBL) to assess NLACRC's hiring needs and develop a recruitment plan consistent with TBL intent
- Develop strategies to recruit and retain qualified staff, i.e. Career Day Fair
PROGRESS: Objective 3B

*Increase Staff Training and Education*

- HIPAA Privacy & Security Training
- Sexual Harassment and Discrimination Training
- Union Contract & Subsequent Training/Education
- CSC Focused Training (Step-by-step, documentation, “CSC 101”)
- Redesign Onboarding Process for CSCs and Staff
  - Utilize instructional design models and develop a standard to develop training at the center.
PROGRESS: Objective 3B (cont.)

*Increase Staff Training and Education*

- Implement training program for supervisors/managers - “How To Academy” as it relates to leadership and management skills needed to support their interactions with staff
- Create employee development paths through the use of Learning Management System (LMS) that further develops staff in their job performance based on their position within the agency
- Develop eLearning micro-trainings that are tailored to CSC’s daily job functions, and also supports the new onboarding and CSC training processes
- Seek funding to provide training that includes cultural competencies & inclusion through ABX2-1
PROGRESS: Objective 3C

*Increase Board Training and Education*

- Lanterman Act Training scheduled for November 20, 2019
- NLACRC and DDS Contract Training scheduled for November 20, 2019
- Training on Quality Assurance to be scheduled in 2020
- Training on Transparency Policy to be scheduled in 2020
- Training on Vendorization/Resource Development to be scheduled in 2020
- ARCA Board Academy Training
PROGRESS: Objective 3C (cont.)

*Increase Board Training and Education*

- Continue New Board Member annual orientation
- Continue Board Packet Reviews
- Continue Annual Board Legislative Training
- Provide periodic trainings as requested by the Board or as required by DDS
- Post on NLACRC's website information regarding the training and support provided to Board Members
PROGRESS: Objective 3D

*Increase Transparency Activities*

- Post the following information on NLACRC’s Website
  - Assessment tools utilized by NLACRC to make funding decisions
  - All documents specified in NLACRC’s Board Transparency Policy
- Provide the following information at the time of the IPP
  - A list of all agreed upon services
- Provide a copy of all Corrective Action Plans (“CAPs”) and Sanctions to DDS
PROGRESS: Objective 3D (cont.)

*Increase Transparency Activities*

**Year 3 Goals**

- Establishment of a dashboard in a machine writable format
- Establishment of standardized information packets
  - Overview of regional center system
  - A resource guide for consumers and their families
- Consumer rights
- Contact information for NLACRC, DDS, and protection and advocacy agency
PROGRESS: Objective 4A

Streamline and Document Workflows

Year 3 Goals

- Continue to update and create Policy(s) & Procedure(s)
- Establish Committee Charters for all internal committees to increase efficiencies and reduce duplication of efforts
- Develop Disaster Recovery/Business Continuity Plan in collaboration with MLC Associates
- Departments to memorialize department procedures with assistance from the Policy & Procedure department
- Develop policies and procedures to support trailer bill implementation
PROGRESS: Objective 4B

Technology will be considered in all new or existing workflow processes & technology to automate workflows will be the 1st priority

Year 3 Goals

- SharePoint Intranet
- e-mail Transition to Cloud
- Mitel Phone Transition to Cloud
  - Auto Attendant
- Cell Phone Upgrade
- Disaster Recovery Setup
- Explore technological solutions to streamline workflows, collect and validate data, and produce reports
PROGRESS: Objective 4C

Make information easily accessible to staff, service providers, consumers/families, and community partners; improve data integrity; & maintain security and privacy

Year 3 Goals

- EDMS Next Phase
  - Scanning
  - Upgrade & Integration with SharePoint
  - Electronic Forms & eSignatures
    - SIRS
PROGRESS: Objective 4C (cont.)

Make information easily accessible to staff, service providers, consumers/families, and community partners; improve data integrity; & maintain security and privacy

Year 3 Goals

- Implement Risk Assessment
- HIPAA Privacy Committee
  - Track, Trend & Mitigate Issues
  - Referral Packets
- Data Integrity Committee
  - Explore use of Technological Solutions to improve the accuracy of data
- Community Services SANDIS 7 Manual
PROGRESS: Objective 4C (cont.)

Make information easily accessible to staff, service providers, consumers/families, and community partners; improve data integrity; & maintain security and privacy

Year 3 Goals

- Replacement of Programs:
  - Automating Form 200 to Therefore
  - POS Attendance
  - Moving Check Printing to FormsPrint
  - Program to Analyze POS Expenditures
- Upgrades to Infrastructure/Applications
  - Linkup Server Upgrade
  - Taylor Communications Upgrade
  - TPX Technology Transition
  - Citrix Upgrade
PROGRESS: Objective 4D

*Increase opportunities for cost savings*

### Year 3 Goals

- Continue efforts to reduce CalPERS unfunded retirement (pension) liability
- Continue efforts to reduce CalPERS unfunded retiree healthcare liability
PROGRESS: Objective 4E

Maximize Office Space Utilization

Year 3 Goals

- Santa Clarita Valley (SCV) Suite 120 Reconfiguration
- Antelope Valley Office (AV) Relocation by September 30, 2020
- Upgrade Conference Room technology in SCV & AV
## GOALS

<table>
<thead>
<tr>
<th>1</th>
<th>Goal</th>
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<th>Goal</th>
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<tbody>
<tr>
<td>1</td>
<td>Increase community education and engagement, build relationships with state legislators locally and in Sacramento; and identify stakeholder priorities in order to help direct our current and future advocacy efforts</td>
<td>2</td>
<td>Provide consumers and families more choices and options for service delivery</td>
<td>3</td>
<td>Provide staff within NLACRC that is equipped with information, skills, and expertise to support our consumers and their families, service providers, our staff, and the mission of NLACRC</td>
<td>4</td>
<td>Provide more time for our staff to spend with our consumers and their families, service providers, and other community partners</td>
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NEXT STEPS
Presentation:
The Lanterman Act
Board Presentation

Ruth Janka, Executive Director
General Admin, Powers and Duties of Dept. of Developmental Services

- DDS is established by law (sections 4400-4499)
- Director appointed by California’s Governor
- Authorized to administer Lanterman Act
- Mandated to place individuals in the community via funding of community placement plans
- Charged with the responsibility of “ensuring and upholding the rights of persons with developmental disabilities” and “ensuring that laws, regulations, and policies are observed and protected”
Lanterman Developmental Disabilities Services Act

- State's responsibility to provide services to persons with developmental disabilities
- Legislative intent
- State and community agencies such as State Council on D. D., area boards and regional centers
- Guidelines for development of community resources/supports, community living continuums
- Appeals procedure, judicial review, evaluation and budgetary requirements
- Guardianship/Conservatorship
Chapter 1
General Provisions

- Legislative Intent:
- Provision of services sufficiently complete to meet needs of consumers lifelong and prevent dislocation from home communities
- Support integration into mainstream life of community
- Enable consumers to approximate the pattern of everyday living available to people without disabilities
Chapter 1
General Provisions

- Provides additional rights to consumers
- Defines rights of consumers living in institutions
- Provides definitions of terms used in the statute
- Establishes legal criteria for RC eligibility
- Establishes confidentiality of consumer information
Chapter 2
State Council on Developmental Disabilities

- Plans and coordinates state resources on behalf of persons with developmental disabilities
- Members are appointed by Governor
- Develops California’s Developmental Disability State Plan
- Monitors and evaluates implementation of state plan
- Reports to U.S. Secretary of Health & Human Svcs
- Advocates and disseminates information
Chapter 2
State Council on Developmental Disabilities

- Protect and advocate for the legal, civil, and service rights of persons with developmental disabilities
- Authority to appoint a representative to advocate on behalf of consumer
- Reviews policies/practices of publicly funded programs for compliance with law/regulation
- Initiate legal action when authorized by state council
- Participates in development and implementation of the state plan
Chapter 3
CA Developmental Disabilities State Plan

- Prepared by State Council once every 5 years, reviewed annually
- Identifies and prioritizes actions needed to improve California’s system of services and supports for consumers
- Purpose: ensure coordinated and comprehensive system of community services and supports that are person- and family-centered, directed, and enable consumers to exercise self-determination, independence, productivity, and be integrated and included in all facets of community life.
Chapter 4
Quality Assessments

- Purpose: to gather information regarding quality of services and health, safety, well-being, interactions with people without disabilities, employment, integration, choice and consumer satisfaction
- Initially conducted by state council
- 2010, DDS directed to contract with an independent agency or organization to implement a quality assessment – National Core Indicators conducts these assessments for DDS statewide
Chapter 5
Regional Centers

- Regional center system provides "fixed points of contact in the community to access services and supports"
- Governing boards
- Contractual relationship between RC and DDS
- Casefinding responsibilities
- Intake, diagnosis & assessment, IPP planning & service coordination, rights assurance
- Quality Assurance and Program Development
Chapter 6
Community Facilities & Programs

- Requires state plan to identify programs & facilities that need to be developed, expanded, terminated or reduced
- Establishes the Program Development Fund
- Establishes the rate of payment for residential facilities and facility types i.e. ARFPSHNs, EBSH
- Provides services and supports for consumers living in the community (i.e. self determination and supported living services, family home agencies)
- Requires residential staff to complete training
- Collaboration between RC and Mental Health
Chapter 7
Appeal Procedure

- Requires notice before eligibility or services change, reduce, or terminate and when service/eligibility is denied
- Provides opportunity to meet informally, mediate and participate in a full evidentiary hearing before an Administrative Law Judge
- Provides timely access to records for purpose of the appeal
- Provides for continued funding of services during appeal if appeal is filed within 10 days
- Establishes Residential Facility Appeal Procedure
Chapter 8
Evaluation

- Establishes intent that services produce measurable and desirable results
- Requires department to report statewide information regarding program effectiveness
Chapter 9 - Budgetary Process & Financial Provision

- Requires regional centers to submit a program budget plan annually
- Allows DDS to accept grants, gifts, legacies and property
- Prohibits regional centers from using POS as start-up funds unless health/safety is at risk and DDS approves
- Requires parental co-payment for minor residing in 24 hr. out-of-home care or receiving respite, day care, camping (FCPP, parental)
- Provides for exemption of parental co-payments
Chapter 10
Judicial Review

- Provides a right for consumers admitted or committed to state hospitals, developmental centers, community care facilities or health facilities to have a hearing for release from the facility.
- Review is conducted by Superior Court in the county in which facility is located.
- Allows regional centers to recommend admission to a developmental center or community care facility and must certify there is no objection from consumer, family or appointed agency.
Allows and intends for Director of the Department of Developmental Services to be appointed the guardian/conservator of a consumer pursuant to section 416 of the Health and Safety Code.
Chapter 12
Community Living Continuums

- Multicomponent services system within geographic borders of each area board which supports the sequential developmental needs
- DDS has ability to design and implement
- State Council on Developmental Disabilities can propose existing community living arrangement programs
Chapter 13
Habilitation Services

- Provisions only apply to those habilitation services purchased by the regional center
- Community based services purchased for or provided to consumers, including services provided under the Work Activity Program and the Supported Employment Program
- Regional center monitors, evaluates and audits habilitation providers for program effectiveness
- Sets conditions for purchase and provision of habilitation services
Chapter 14
Employment

- Establishes a standing Employment First Committee by SCDD
- Provides guidelines for regional centers to fund paid internship opportunities for consumers
- California ABLE Act Board
- California ABLE Program Trust